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Address to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Docket No. GP-03-01

First Named Inventor HATTERSLEY, MICHAEL



UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:

METHOD AND SYSTEM FOR UNDERWRITING AND SERVICING FINANCIAL ACCOUNTS

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date September 8, 2003, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EO 901 528 164 US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Seong-Kun Oh

(Name of the Person Mailing Paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 33 Pages of specification (including four (4) page of claims and one (1) page of abstract);
 - ii. 12 Sheets of drawings.
☐ formal ☒ informal
3. ☐ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
4. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. ☐ Computer Readable Copy
 - ii. ☐ Paper Copy (identical to computer copy)
 - iii. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

5. ☐ An assignment of the invention to _____ is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
6. ☒ Combined Declaration for Patent Application and Power of Attorney (**executed**)
7. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of _____ references.
8. ☐ Preliminary Amendment.
9. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
10. ☐ Nonpublication Request under 35 USC 112(b)(2)(B)(i)
11. FOREIGN PRIORITY
☐ Priority of Application No. _____ filed on _____ in _____ is claimed under 35 USC §119.

The certified copy of the priority application:

- ☐ is filed herewith; or
- ☐ has been filed in prior application no. _____ filed on _____, or
- ☐ will be provided.

☐ English Translation Document (if applicable)

12. DOMESTIC PRIORITY

☐ Priority of Provisional Application No. filed on , is hereby claimed under 35 USC 119(e).

13. FEE CALCULATION

a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$750)
Total Claims	20 - 20	0	x \$18.00	\$0.00
Independent Claims	3 - 3	0	x \$84.00	\$0.00
<input type="checkbox"/> Multiple dependent claim(s), if any			\$280.00	\$0.00

*If less than zero, enter "0".

Filing Fee Calculation \$750.00

50% Filing Fee Reduction (if applicable) \$375.00

14. Small Entity Status

- a. ☐ Applicant herein claims small entity status
b. ☐ is no longer claimed.

15. Other Fees

☐ Recording Assignment [\$40.00] \$0.00
☐ Other fees
Specify \$0.00

Total Fees Enclosed \$375.00

16. Payment of Fees

☐ Check(s) in the amount of \$ enclosed.
☒ Charge Account No. 50-2019 in the amount of \$375.00.
A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Kuni Oh, Esq.
JACKSON & CO., L.L.P.
6114 La Salle Ave., Ste 507
Oakland, CA 94611-2802
Telephone: 510/652-6418

Customer No. 30349

18. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 50-2019. **A duplicate of this transmittal is attached.**

JACKSON & CO., L.L.P.

September 8, 2003

By:

Seong-Kun Oh, Esq.
Registration No. 48,210

Attorney Docket No. GP-03-01

Attorney(s) or Agent(s) of Record